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15

16 **Abstract**

17 The Pre-Pottery Neolithic (PPN) of the central and southern Levant played an integral
18 role in the Neolithic Demographic Transition (NDT) from mobile hunter-gatherer to
19 village-based, agro-pastoralist societies. An understanding of population dynamics is
20 essential for reconstructing the trajectories of these early village societies. However,
21 few investigations have produced absolute estimates of population parameters for these
22 villages and those which have base estimates on a limited methodological framework.
23 This research examines the methodological and theoretical basis for existing estimates,
24 and explores a range of methodologies in order to derive more empirically-robust
25 demographic data. Results reveal that commonly utilized methodologies and population
26 density coefficients employed for estimating PPN village populations require re-
27 evaluation. This article presents the application of methodologies to the PPNB site of
28 Beidha in southern Jordan.

29

30 **Keywords**

31 Pre-Pottery Neolithic (PPN), Beidha, population estimates, contemporaneity, population
32 density.

33

34 **Introduction**

35 Absolute estimates of population size, density and dynamics are essential for
36 reconstructing human social development. Population estimates enable more precise
37 explorations of the relationship between groups of people and developments in
38 subsistence, architecture, technology, economic practices, community organisation and
39 ritual practices, in all global areas and periods. Demographic data is critical for
40 investigating episodes of settlement aggregation, migration and dispersal; and for
41 exploring the underlying causes, processes and consequences of major transitional
42 episodes. Given the pivotal role that the central and southern Levantine Pre-Pottery
43 Neolithic (PPN) played in the Neolithic Demographic Transition (NDT) and the
44 importance of this region for understanding early village development, the
45 methodological and theoretical limitations associated with existing absolute population
46 estimates of these villages must be addressed.

47

48 This investigation assesses existing estimates, methodologies and underlying theories in
49 order to establish a more empirically-robust methodological framework for estimating
50 population size, density and growth of PPN central and southern Levantine villages.

51 This article presents the results of the initial analysis, conducted on the PPNB village of
52 Beidha in southern Jordan. Beidha is an excellent case study for exploratory application
53 of methodologies as it demonstrates the full transition from a formative village
54 characterized by the persistence of hunter-gatherer subsistence and social strategies, to a
55 fully sedentary, agro-pastoralist society (Byrd 2005).

56

57 **Existing population estimates for PPN central and southern Levantine villages**

58 Population size

59 An extensive literature review revealed absolute population size estimates for 23 PPN
60 central and southern Levantine villages (Figure 1). These include around 60 estimates
61 derived from six investigations (Rollefson and Köhler-Rollefson 1989; Gebel and
62 Hermansen 1999; Kuijt 2000; Ladah 2006; Kuijt 2008; Campbell 2009). All but one
63 (Gebel and Hermansen 1999) employ the same method involving the application of a
64 population density coefficient (i.e. people per hectare) derived from Southwest Asian
65 ethnographic research to total site extent, and all use van Beek's (1982: 64-65) density
66 coefficients of 286 to 302 people per hectare to produce maximum estimates.

67

68 The majority of estimates ($n = 42$) were produced by Kuijt (2000: 81; 2008: 294) to
69 explore the relationship between population dynamics and sedentism, food production,
70 food storage, social crowding, social inequality and the collapse of large villages at the
71 end of the PPN. Kuijt's (2000; 2008) estimates are based on site area (either estimated
72 directly or based on the mean settlement size of the largest sites per period) and mean
73 population density coefficients of 90 and 294 people per hectare derived from
74 ethnographic research in Iran (Watson 1979: 35-47; Kramer 1982: 162) and North
75 Yemen (van Beek 1982: 64-65). Kuijt (2000: 82-85) acknowledges that this method
76 requires a series of assumptions relating to representativeness and the applicability of
77 ethnographic constants to PPN sites, stating that the resulting estimates are more
78 suitable for comparative analysis than as definitive population estimates.

79

80 Campbell (2009) produced additional estimates ($n = 10$) for 'Ain Ghazal, Basta and
81 Jericho to investigate the impact of agricultural practices on the environment. Campbell
82 (2009: 137) established low, mid-range and high population estimates based on

83 estimated total site extent and ethnographically derived density coefficients of 85.9
84 (Jacobs 1979: 178), 139 (Kramer 1979: 144) and 294 people per hectare (van Beek
85 1982: 64-65)¹. Estimates based on the maximum coefficient were utilized to explore
86 worst-case scenarios relating to resource exploitation pressure.

87

88 Rollefson and Köhler-Rollefson (1989: 75) produced estimates for 'Ain Ghazal ($n = 6$)
89 to explore reasons for settlement collapse at the end of the PPNB. Estimates were based
90 on estimated total site extent and a population density coefficient range of 286 to 302
91 people per hectare derived by van Beek (1982: 64-65). To investigate the relationship
92 between group size and socio-political complexity at Ghwair I, Ladah (2006: 150)
93 estimated the population based on total site extent and a density coefficient of 286
94 people per hectare (van Beek 1982: 64-65).

95

96 Gebel and Hermansen (1999: 19) employed an alternative method to estimate the
97 population of Late PPNB Ba'ja as part of a report on the architectural findings. It was
98 hypothesized that extended families of around eight to ten people formed the
99 predominant dwelling unit and that 50 to 60 families occupied around 0.6 to 0.7
100 hectares of densely built houses. A final population estimate range of 400 to 500 people
101 was proposed. Unfortunately, the authors provide no further information as to how these
102 figures were derived.

103

104 An assessment of existing estimates indicate that PPN villages may have been occupied
105 by a maximum of around 500 people during the PPNA; up to 1400 people by the
106 Middle PPNB; and up to 4000 people by the Late PPNB. However, the limited
107 methodological basis for these estimates, the considerable estimate ranges and the focus

¹ Campbell (2009) converted people per hectare density coefficients to measurements of total site area per person of 116.3 sq m (Jacobs 1979), 71.8 sq m (Kramer 1979) and 35 sq m (van Beek 1982).

108 on relative rather than absolute figures reduce the reliability of these estimates and the
109 efficacy of any subsequent analysis of the relationship between population parameters
110 and other demographic or developmental factors.

111

112 Population density coefficients

113 *People per hectare*

114 Ethnographic analysis of Southwest Asian villages and towns has revealed that the
115 majority have a population density range of around 100 to 200 people per hectare,
116 regardless of settlement size or intra-site organisation (Antoun 1972; Aurenche 1981;
117 Kramer 1979; 1982; Wossinik 2009). As previously identified, the primary
118 methodology for producing estimates to date has been via the application of a people
119 per hectare coefficient to total site extent. A minimum to maximum range of 90 to 294
120 people per hectare (Jacobs 1979; Watson 1979; Kramer 1982; van Beek 1982) is
121 commonly utilized. Kuijt (2008: 290) highlighted the wide range in density values,
122 recommending the use of more conservative, lower values for producing estimates for
123 comparative analysis. There has been no significant attempt to refine these density
124 coefficients for PPN central and southern Levantine villages.

125

126 *Space per person*

127 Ethnographic research of Southwest Asian villages and comparable villages elsewhere
128 has produced a wide range of personal space estimates from around 1.86 sq m to 13.2 sq
129 m per person (Naroll 1962; Cook and Heizer 1968; Hill 1970; LeBlanc 1971; Clarke
130 1974; Watson 1978; Kramer 1979; 1982; van Beek 1982; Kolb 1985; Brown 1987;
131 Finkelstein 1990; Horne 1994; Hayden *et al.* 1996; Porčić 2012). This variation is partly
132 due to contextual differences relating to climate, architecture, dwelling unit type and
133 perceptions relating to crowding, privacy and personal space. However, the most
134 significant cause is the inconsistency in the definition of 'space'. 'Space' usually refers

135 to total roofed floor area, although it can refer to total site area, total built area and total
136 residential floor area (that is, the area in which people lived and slept).

137

138 When based on residential floor area only, the density coefficient range is considerably
139 reduced to around two to five sq m per person (Hill 1970: 75; Clarke 1974: 286;
140 Hayden *et al.* 1996: 152, 159). Residential floor area density coefficients have greater
141 potential to produce more accurate population estimates, provided that residential floor
142 area can be identified in the archaeological record. Due to the methodological issues
143 associated with identifying residential area, archaeologists have generally avoided this
144 technique for estimating PPN village populations.

145

146 *People per dwelling*

147 Estimates of the number of inhabitants per dwelling require consideration of two main
148 aspects: the first relates to the composition of the dwelling unit (i.e. an individual, a
149 couple or pair, a nuclear or extended family, or a non-related group); whilst the second
150 relates to the number of people typically thought to comprise that particular dwelling
151 unit. For PPN central and southern Levantine villages, a dwelling unit size of five to six
152 people is commonly utilized based on the theory that dwelling units predominantly
153 comprised nuclear families (Sweet 1960; Kramer 1982; Rollefson and Köhler-Rollefson
154 1989; Düring 2001; Byrd 2002; 2005) and ethnographic research of nuclear family sizes
155 in Southwest Asian villages (Sweet 1960; Wright 1969; Antoun 1972; Watson 1978;
156 1979; Kramer 1979; 1982; Aurenche 1981; van Beek 1982; Finkelstein 1990; Zorn
157 1994).

158

159 Archaeological investigations have attempted to refine dwelling unit size estimates for
160 PPN villages. Analyses of house size and the role of the household indicate that smaller,

161 curvilinear dwellings, which usually comprise undifferentiated residential floor area,
162 may have accommodated smaller nuclear families; whilst larger and rectilinear
163 dwellings, which are often highly compartmentalized and contain considerable storage
164 space, may have accommodated larger nuclear or extended families (Gebel and
165 Hermansen 1999; Banning 2003; Byrd 2005; Rollefson and Kafafi 2013).

166

167 Hemsley (2008) explored an empirically-based method for estimating dwelling unit
168 size. She examined the multi-sensorial experience of buildings and domestic space at
169 several PPN villages, including Jericho, Netiv Hagdud and Basta, to estimate the
170 average number of people each structure could accommodate. Hemsley (2008: 131)
171 estimated personal sleeping space requirements of 1.24 sq m and 1.77 sq m based on
172 modern human heights of 1.65 m and 1.83 m, respectively. Factoring in the need to
173 avoid installations, such as hearths and surrounding activity zones, access routes and
174 three different degrees of personal annual residential storage (none; moderate: 0.46 cb
175 m; maximum: 0.92 cb m), Hemsley (2008) estimated that smaller, single-roomed
176 structures (≤ 10 sq m) may have accommodated up to four people; whilst larger
177 structures may have accommodated up to 14 people². This method is unique in that it
178 does not incorporate any prior assumptions regarding dwelling unit type or perceptions
179 relating to space preference. The correlation between the total available residential floor
180 area and the average number of sleeping occupants afforded within that area presents an
181 opportunity to develop a more empirically-robust and systematic methodology for
182 estimating population and dwelling unit size.

183

² Estimates derived for Basta were inconclusive and are not included in this assessment.

184 Population dynamics

185 A number of investigations have derived annual population growth rates for early
186 village communities. Carneiro and Hilde (1966) and Hassan (1981) estimated a
187 universal annual population growth rate of around 0.1% for non-industrialized,
188 agricultural village populations; Bandy (2001) estimated 0.08% annual growth rate for
189 formative villages in the Titicaca Basin, Bolivia; and Drennan and Peterson (2008)
190 estimated 0.25% annual growth rate for communities undergoing the NDT in the
191 Chifeng region of the Liao Valley, China and in the Alto Magdalena, Colombia.

192

193 There have been two major attempts to estimate population growth of PPN settlements
194 in the central and southern Levant. Eshed *et al.* (2004) examined skeletal evidence from
195 Natufian and Neolithic contexts to establish average annual growth rates of between
196 0.5% to 1% per annum; whilst Goodale (2009: 160) estimated annual growth rates
197 varying between -1.3% and 2.1% throughout the PPN. Deriving absolute population
198 growth rates for PPN settlements is problematic for various reasons, including issues
199 associated with dating and phasing; the limited number of sites containing consecutive
200 phases; and difficulties of producing precise and accurate population size estimates.

201

202 Limitations of existing estimates

203 The summary above highlights several issues with existing absolute estimates of
204 population parameters for PPN central and southern Levantine villages. Firstly, there
205 are few sites for which absolute estimates exist. Secondly, due to methodological issues,
206 investigations rarely attempt to produce absolute population estimates and those which
207 do emphasize their benefit for comparative analysis rather than as representations of
208 actual population size. For this reason, methodologies and density coefficients are often
209 insufficiently critically assessed prior to application and estimates usually display

210 considerable ranges with little attempt at refinement. Thirdly, the majority of estimates
211 are based on a very limited range of methodologies and a narrow selection of density
212 coefficients derived from ethnographic research conducted in Southwest Asian
213 communities more than three decades ago. An assessment of the architectural and
214 spatial characteristics of these ethnographic examples reveals that these are often not
215 suitable comparables for PPN central and southern Levantine villages, particularly those
216 with predominantly curvilinear architecture. If archaeologists are to develop more
217 insightful reconstructions of human social development during the NDT in this region,
218 more empirically-robust methodologies are required for estimating absolute population
219 size, density and dynamics.

220

221 **Methodologies for estimating PPN central and southern Levantine village** 222 **populations**

223 A review of archaeological, ethnographic and modern demographic methods for
224 estimating population parameters revealed five methods most suitable for application to
225 PPN central and southern Levantine villages: the housing unit method (HUM); the
226 residential area density coefficient method (RADC); the storage provisions formulae
227 (SPF); the settlement population density coefficient method (SPDC) and the allometric
228 growth formulae (AGF). Each of these methods is explored in turn to determine
229 whether these produce realistic estimates, and to identify the most empirically-robust
230 methodology/ies for future research.

231

232 Method 1: Housing unit method (HUM)

233 The housing unit method (HUM) estimates total population size by multiplying an
234 ethnographically or archaeologically derived value for the number of people per
235 dwelling by the number of dwellings at a site. Nelson (1909) was amongst the first to

236 employ this method, estimating the population of a San Francisco Bay shell mound by
237 multiplying the number of identifiable house depressions by an arbitrary figure of six
238 people per house. The method was subsequently widely explored (Mellaart 1967; Cook
239 1972; Watson 1978; 1979; Kramer 1979; 1982; van Beek 1982; Kolb 1985; Finkelstein
240 1990; Hayden *et al.* 1996; Düring 2001). Several methodological issues were identified,
241 the foremost of which related to the definition of the 'household' and the development
242 of a standard empirical figure for household size.

243

244 For the purpose of population estimates, the term 'household' has come to mean the
245 total number of people living within a single dwelling, a notion more accurately
246 reflected by the terms 'dwelling unit' (Wilk and Rathje 1982: 620) or 'domestic group'
247 (Hammel and Laslett 1974: 76). For PPN settlements, the predominant 'dwelling unit'
248 is often thought to comprise nuclear families (Sweet 1960; Kramer 1982; Rollefson and
249 Köhler-Rollefson 1989; Düring 2001; Byrd 2002; 2005). Ethnographic research of
250 Southwest Asian villages indicated that these nuclear family dwelling units may have
251 comprised between four and six people (Sweet 1960; Wright 1969; Watson 1978; 1979;
252 Kramer 1979; 1982; Aurenche 1981; van Beek 1982; Finkelstein 1990). Larger
253 dwelling unit sizes of up to eight people are occasionally recorded (Portillo *et al.* 2014).

254

255 In this investigation, the theory that PPN dwellings were predominantly occupied by
256 nuclear families is tested via the application of minimum, average and maximum
257 dwelling unit sizes of three, 5.5 and eight people. The application of these dwelling unit
258 sizes produces large population estimate ranges, except where larger family sizes can be
259 excluded due to insufficient residential floor area. As the results of the HUM
260 incorporate the assumption of nuclear family dwelling units, population estimates for
261 villages with single or paired occupancy dwellings will be inflated. Where HUM

262 population estimates are considerably higher than those of other methods, this could
263 indicate dwelling unit sizes smaller than that of a nuclear family. Conversely, where
264 comparability exists between HUM population estimates and those of other methods or
265 where the HUM estimate is lower, this could infer that dwellings did indeed house
266 nuclear (or perhaps extended) family units.

267

268 Method 2: Residential area density coefficient (RADC) method

269 A residential area density coefficient (RADC) is a measure of the average amount of
270 residential area occupied by each person. To derive population estimates via this
271 method, the estimated total residential area is divided by an ethnographically or
272 archaeologically derived RADC. Naroll (1962) attempted to derive a universal value for
273 the amount of built floor area per person by examining cross-cultural ethnographic data
274 of built floor area and total population within 18 nomadic and sedentary societies, the
275 majority of which comprised agglomerated, rectilinear architecture. He proposed a
276 standard constant of 10 sq m built floor area per person. Byrd (2002: 72) applied this
277 constant to the mean interior area measurements of 106 domestic structures from
278 southern Levantine sites spanning the Early Epipalaeolithic to the PPNB to determine
279 potential dwelling unit sizes. Byrd (2002) suggests that Naroll's (1962) constant is too
280 high for settlements occurring during this period. The constant was widely criticized for
281 being too simple and for its potential to underestimate populations by including all
282 architectural elements as opposed to living or sleeping areas only (Cook and Heizer
283 1968; Nordbeck 1971; Wiessner 1974; Schacht 1981; Kolb 1985; Brown 1987; Byrd
284 2002). In addition, it was acknowledged that space requirements per person are
285 impacted by various factors, including available settlement area, climate, notions of
286 privacy, permanence of settlement, and structure size and shape. As such, subsequent
287 investigations attempted to refine density values for different settlement, dwelling and

288 dwelling unit types (Cook and Heizer 1968; LeBlanc 1971; Nordbeck 1971; Clarke
289 1974; Flannery 1972; Wiessner 1974; Schacht 1981; Kolb 1985; Brown 1987; Hayden
290 *et al.* 1996; Byrd 2002).

291

292 This investigation employs RADCs based on living area only, omitting non-living area,
293 such as walls and stairs, and spaces interpreted as storage areas, workshops and
294 courtyards (Hill 1970; LeBlanc 1971: 211; Kramer 1979; Hayden *et al.* 1996). In this
295 way, RADCs apply to potential sleeping area only, which more accurately reflects the
296 resident population. Unfortunately, the majority of studies either include all roofed floor
297 area in calculations or do not specify the type of area included. Therefore, values
298 utilized in this investigation are based on a limited number of comparative examples.
299 The minimum RADC employed (1.77 sq m) is based on Hemsley's (2008: 131)
300 estimate of the maximum sleeping space required per person. The mid-range RADC
301 (3.3 sq m) is based on Hayden *et al.*'s (1996: 152, 159) estimates for prehistoric and
302 ethnographic villages containing circular structures in British Columbia and the Arctic
303 Circle, and Clarke's (1974) estimates for Southwest American pueblos containing
304 agglomerated, rectilinear architecture. The maximum RADC (5 sq m) is based on Hill's
305 (1970: 75) estimate for the prehistoric Broken K Pueblo site and Kramer's (1979)
306 estimate for the contemporary settlement at Shahabad Iran.

307

308 Method 3: Storage provisions formula (SPF)

309 The storage provisions formula (SPF) is a unique method developed from data produced
310 by Hemsley (2008), who calculated the number of sleeping occupants accommodated
311 within a structure, factoring in access routes, hearths, activity zones and three different
312 degrees of personal annual storage provisions (none; moderate: 0.46 cb m; maximum:
313 0.92 cb m). From this data, three formulae were constructed in this analysis correlating

314 the average number of sleeping occupants to available residential floor area based on no
315 personal storage ($P = 0.3944A - 0.375$), a moderate degree of personal storage (0.46 cb
316 m: $P = 0.2477A + 0.0339$) and a high degree of personal storage (0.92 cb m: $P = 0.$
317 $1903A + 0.3976$). In these formulae, 'P' is the average number of sleeping occupants
318 and 'A' is the estimated residential floor area.

319

320 Two methods are explored in this investigation: the first assigns the total
321 contemporaneous residential floor area estimate as the 'A' variable to calculate total
322 population ('P'); and the second assigns the mean residential floor area of complete
323 dwellings as the 'A' variable to calculate the average number of people per dwelling
324 ('P'), which is then multiplied by the estimated total number of contemporaneous
325 dwellings to produce a final population estimate.

326

327 The SPF is considered the most robust method in this investigation for several reasons.
328 Firstly, this unique methodological approach is based exclusively on archaeological
329 evidence and empirically-derived values for human sleeping space. It does not
330 incorporate assumptions regarding dwelling unit size, the constitution of the dwelling
331 unit or perceptions relating to space preference. All other methods assessed in this
332 investigation are based on several assumptions and employ ethnographically-derived
333 coefficients from settlements which often do not demonstrate a high degree of
334 comparability to PPN villages.

335

336 Secondly, assessment of the archaeological evidence for storage within the residential
337 area and a comparison of population and dwelling unit size estimates with estimates of
338 available residential floor area enable the selection of the most appropriate formula/e for

339 final estimate reconstruction. This not only reduces the final estimate range, but also
340 highlights the most plausible degree/s of residential storage.

341

342 Thirdly, this is the only method which directly calculates dwelling unit size.

343

344 Finally, the consistent methodological application of set formulae improves the
345 comparative capability of the results. Due to the more empirically-robust nature of the
346 SPF method, SPF estimates are considered the most reliable and are presented as the
347 final estimates for comparative analysis in this investigation.

348

349 Method 4: Settlement population density coefficient (SPDC) method

350 A settlement population density coefficient (SPDC) is a measure of the amount of
351 people living within a specified unit of area: in this case, a hectare. Population is
352 estimated by multiplying total site extent by an ethnographically derived value for the
353 number of people residing within a hectare (Watson 1978; 1979; Kramer 1979; 1982;
354 van Beek 1982). This is the method utilized for the majority of existing estimates and
355 relies on the assumption that there is a direct correlation between settlement size,
356 population size and population density. However, research indicates that this
357 relationship is highly variable. Ethnographic research in Southwest Asia based on single
358 site analysis has produced SPDCs that range significantly from around 16 to 334 people
359 per hectare (Jeremias 1969; Wright 1969; Antoun 1972; Watson 1978; 1979; Jacobs
360 1979; Kramer 1979; 1982; van Beek 1982). Multi-site and regional ethnographic
361 analyses indicate that the majority fall within lower and upper limits of 100 to 200
362 people per hectare (Sumner 1979; Adams 1981; Kramer 1982). Higher population
363 densities are often associated with old or walled settlements, such as Jerusalem (334
364 people per hectare) (Jeremias 1969) and Tell Marib, North Yemen (286 to 302 people

365 per hectare) (van Beek 1982); and settlements located in economically advantageous
366 areas (i.e. coastal plains) (Finkelstein 1990).

367

368 Different studies have indicated positive (Sumner 1979; Finkelstein 1990) and negative
369 (Aurenche 1981; Whitelaw 1991) correlations between settlement size, population size
370 and density. Sumner (1979) identified higher densities (155 people per hectare) within
371 larger villages (≥ 400 people) in the Marv Dasht region and lower densities (70 people
372 per hectare) within smaller villages (< 100 people). Similarly, Finkelstein (1990)
373 identified higher densities (189 people per hectare) within larger Palestinian villages ($>$
374 1000 people) and lower densities (141 people per hectare) within smaller villages ($<$
375 300 people), suggesting that larger villages would have less abandoned residential
376 space. Aurenche (1981) analysed Western Asian villages divided into four site size
377 classes, revealing a more complex pattern. The largest villages (> 10 ha) contained the
378 lowest population density (31 people per hectare), whilst smaller villages (1-3 ha)
379 contained the highest population density (111 people per hectare). Similarly, for Lower
380 Xiajiadian period sites in Northeast China (occupied c. 3500 years ago), Shelach (2002:
381 128-129) estimated higher population densities (306-510 people per hectare) within
382 smaller sites (< 3 ha) and lower densities (180-420 people per hectare) within larger
383 sites (> 3 ha).

384

385 In this investigation, commonly utilized SPDCs for estimating PPN village populations
386 are assessed. These include the minimum and maximum ethnographically derived
387 values of 90 people per hectare (Jacobs 1979: 178; Watson 1979: 35-47; Kramer 1982:
388 162) and 294 people per hectare (van Beek 1982: 64-65). Also assessed is an average
389 value of 150 people per hectare based on ethnographic research in Iran (Watson 1979:
390 35-47; Kramer 1979: 144) and the common density range of 100 to 200 people per

391 hectare for Southwest Asian villages and towns (Wossinik 2009). Population estimates
392 are converted to average dwelling unit size based on the estimated number of
393 contemporaneous dwellings. These estimates and SPDCs are compared to those derived
394 from other methods to determine whether the commonly utilized SPDCs are reliable for
395 estimating the population of early PPN villages.

396

397 Method 5: Allometric growth formulae (AGF)

398 The allometric growth formula ($A = a \times P^b$) represents the relationship between area (A)
399 and population (P) based on constants for the initial growth index (a) and the scaling
400 exponent (b). Established within the biological sciences (Huxley 1932), the AGF was
401 first applied in an ethnographic context by Naroll (1962) following the discovery of a
402 strong cross-cultural correlation between built floor area and total population. Naroll
403 (1962) calculated the allometric relationship as: $A = 21.7 \times P^{0.84195}$, which was
404 simplified to $P = A/10$ sq m, producing the famous constant of 10 sq m built floor area
405 per person. This simplified constant was highly criticized for not reflecting the actual
406 variability indicated by the AGF or the range in population size and built floor areas of
407 the settlements included in the analysis (Nordbeck 1971; LeBlanc 1971; Wiessner
408 1974).

409

410 Brown (1987) re-examined Naroll's (1962) formula, revealing that there was no linear
411 or allometric relationship between population size and built floor area in smaller
412 settlements and only a moderately strong linear correlation in larger settlements. Brown
413 (1987) and other critics emphasized that considerable cross-cultural and inter-regional
414 variation in patterns of settlement growth would prevent the application of a single
415 constant for converting settlement area to population size. As such, archaeologists
416 sought to develop AGF for different settlement types.

417

418 Wiessner (1974) developed different scaling exponents for open, village and urban
419 settlements. Open settlements were described as hunter-gatherer style settlements
420 comprising light organic, curvilinear architecture. Wiessner (1974) proposed a scaling
421 exponent of two ($b = 2$) for these settlements as settlement area was considered to
422 increase by the square of the population size increase. This is based on the notion that
423 open settlements tend to conform to a circumferential pattern, so that when the number
424 of dwellings (or population) doubles, the diameter of the village doubles, resulting in a
425 quadrupling of the settlement size and a reduction in population density (Figure 2, a).
426 For villages, Wiessner (1974) proposed a scaling exponent of one ($b = 1$) as village
427 settlement was expected to undergo isometric growth, whereby settlement area
428 increases in direct proportion to population size, resulting in constant population density
429 (Figure 2, b). For urban settlements of high density, multiple-storey structures, Wiessner
430 (1974) proposed a scaling exponent of two-thirds ($b = 0.6667$). This is based on the
431 relationship between area which is two dimensional and population which is three
432 dimensional in urban settings, and reflects the smaller relative variation in settlement
433 area compared to variations in population size and density (Figure 2, c). Naroll's (1962)
434 scaling exponent ($b = 0.84195$), which was based predominantly on large villages with
435 high density, rectilinear architecture, falls partway between Wiessner's (1974) proposed
436 village ($b = 1$) and urban ($b = 0.6667$) exponents.

437

438 In this investigation, Naroll's (1962) formula is applied to estimate the total built floor
439 area (A) from the SPF population estimate (P). This is then converted to built floor area
440 per person and residential floor area per person (RADP) based on the proportion of
441 residential floor area in built floor area in the assessable portion of the site. These
442 estimates are compared to those derived from other methods and ethnographic and

443 archaeological investigations to determine the reliability of Naroll's (1962) AGF for
444 estimating PPN population parameters.

445

446 In addition, Naroll's (1962) scaling exponent ($b = 0.84195$) is utilized to re-calculate the
447 initial growth index (a) from the SPF population estimate (P) and the estimated total
448 built floor area (A). Initial growth indices (a) are similarly derived using Wiessner's
449 (1974) formulae based on estimated total site extent (A), the SPF population estimate
450 (P) and each of the three scaling exponents ($b = 2; 1; 0.6667$). It is expected that
451 different initial growth indices would be derived for different settlement types and that
452 these could be used in conjunction with the original scaling exponents to estimate
453 population from area measurements and an assigned site type.

454

455 **Estimating the population of Beidha, southern Jordan**

456 Beidha: site description

457 Beidha is a small PPNB village in southern Jordan, situated in an alluvial valley
458 bordered by steep sandstone cliffs to the north and the Wadi el Ghurab to the south
459 (Figure 3). Byrd (2005) suggests an occupation span of between 500 and 800 years,
460 from the early MPPNB to the LPPNB. Excavations revealed three main phases: A, B
461 and C. Byrd (2005: 26-27) assessed radiocarbon dates to propose phase lengths of 300
462 years for Phase A, and 150 to 250 years for Phases B and C in order to place site
463 abandonment in the LPPNB. Each phase is divided into two subphases based on
464 evidence for earlier and later construction episodes³. Subphases A1, A2, B2 and C2 are
465 assessed in this investigation (Figure 4; Table 1). The first three are assigned to the
466 MPPNB and the latter to the LPPNB. Byrd (2005: 131) suggests a total site extent of
467 between 0.15 ha and 0.35 ha. Individual subphase site extents employed in this

³ Byrd (2005) does not divide Phase B into two subphases despite evidence for earlier and later construction episodes.

468 investigation are based on the potential degree of village expansion as indicated by
469 topographical context, the number and distribution of structures per subphase and
470 information relating to construction timing, longevity and abandonment (Byrd 2005: 73-
471 97). A site extent of 0.1 ha is suggested for Subphase A1; 0.2 ha for Subphases A2 and
472 B2; and 0.3 ha for Subphase C2.

473

474 Structures for each subphase were categorized as either residential (i.e. dwellings) or
475 non-residential based on Byrd's (2005) detailed analysis of the architectural features.
476 Byrd (2005: 121) suggests that nuclear families typified the dwelling unit throughout all
477 phases, although Rollefson and Kafafi (2013: 11-13) propose that extended family
478 dwelling units may have occupied large, highly compartmentalized dwellings, such as
479 those which occurred during Phase C. The population of the final subphase (C2) has
480 previously been estimated by Kuijt (2008: 294). He assigned this subphase to the
481 MPPNB, utilising an average period-based site extent of 2.5 hectares and a density of
482 90 people per hectare to produce a population estimate of 225 people.

483

484 Major methodological considerations and assumptions

485 *Representativeness*

486 Due to the relatively high proportion of site area excavated (c.13-32%) and evidence for
487 similar archaeological features in eroded areas of the site (Byrd 2005: 7), the excavated
488 area is considered representative of the total site extent.

489

490 *Contemporaneity*

491 Contemporaneity adjustments are essential when reconstructing population sizes. In this
492 investigation, an empirically-robust method for determining contemporaneity for each
493 subphase is employed. Developed by Varien *et al.* (2007), this method calculates a
494 contemporaneity value by dividing the estimated building use-life by the estimated

495 subphase length. Precise span estimates were produced via analysis of chronological
496 information relating to the stratigraphic sequence at Beidha (Byrd 2005); building use-
497 life estimates of comparable structures derived from archaeological, ethnographic and
498 experimental research; and Bayesian chronological modelling of radiocarbon dates
499 (Table 2).

500

501 Phase A and B architecture comprised predominantly curvilinear structures with walls
502 of combined earthen and masonry construction, and organic roofing; whilst Phase C
503 architecture comprised agglomerated, rectilinear and often two-storey structures of
504 predominantly masonry construction (Byrd 2005: 28). Based on maintenance and
505 remodelling evidence, Byrd (2005) suggests that Subphase A1 and C2 structures were
506 occupied for a considerable period, with more restricted average use-life during
507 Subphases A2 and B2. Building use-life estimates of comparable structures indicate that
508 Subphase A1 structures may have spanned around 55 to 75 years; Subphase A2 and B2
509 structures around 35 to 75 years; and Subphase C2 structures around 50 to 100 years
510 (Ahlstrom 1985; Rollefson and Köhler-Rollefson 1989; Cameron 1990; Diehl and
511 LeBlanc 2001; Hodder and Cessford 2004; Cessford 2005; Matthews 2005; Ortman *et*
512 *al.* 2007; Arnoldussen 2008; Kuijt and Finlayson 2009; Varien 2012).

513

514 Bayesian chronological modelling was conducted in OxCal v.4.2.4 (Bronk Ramsey
515 1995; 2001; 2005; 2009) to calculate radiocarbon date spans per subphase and
516 building⁴. Span estimates were assessed against the prior chronological information to
517 establish final estimates for reconstructing contemporaneity values. The modelled spans
518 for Subphases A1 (subphase length: 140 years; building use-life: 100 years) and A2
519 (subphase length: 80 years; building use-life: 60 years) were considered suitable for this

⁴ A full description of the method will be presented in a future article.

520 purpose. The overall modelled span for Phase A (260 years) compares well with Byrd's
521 (2005: 27) estimate of 300 years. Subphases B2 and C2 include dates from only one
522 structure each, producing identical estimates for subphase length and building use-life.
523 Modelled span estimates were adjusted based on the prior chronological information
524 (Subphase B2 - subphase length: 70 years; building use-life: 50 years; Subphase C2 -
525 subphase length: 90 years; building use-life: 70 years). This analysis has significantly
526 revised Byrd's (2005: 27) tentative estimates of 150 to 250 years each for Phases B and
527 C.

528

529 The span estimates produced contemporaneity values of around 71% for Subphases A1
530 and B2; 75% for Subphase A2; and 78% for Subphase C2. The value derived for
531 Subphase C2 compares well with Rollefson and Köhler-Rollefson's (1989) proposed
532 structural contemporaneity value of 80% for the late PPN village of 'Ain Ghazal, which
533 comprised similar architectural characteristics.

534

535 *Elimination of nuclear family sizes from HUM calculations due to insufficient*
536 *residential floor area*

537 Application of the average (5.5 people) and maximum (8 people) nuclear family sizes to
538 the mean residential floor area of complete dwellings in Subphases A2 (7.26 sq m) and
539 B2 (6.52 sq m) produced personal floor area allocations considerably lower than the
540 lowest ethnographically derived value (1.86 sq m; Cook and Heizer 1968) and the
541 lowest value employed in this investigation (1.77 sq m; Hemsley 2008). As such, these
542 nuclear family sizes were excluded from HUM calculations for these subphases.

543

544 *Area proportions for Subphase B2*

545 Kuijt (2008) suggests that MPPNB settlements contain an average of 70% built area.

546 The Subphase B2 built area estimate (28.5%) reflects considerable destruction of the

547 occupation evidence by Phase C construction (Byrd 2005: 19). This has resulted in
548 unrealistically low population estimates compared to preceding subphases. To
549 reconstruct more reliable estimates, Subphase B2 calculations utilized proportions
550 derived for Subphase A2, which demonstrates the most comparable structural and
551 spatial characteristics.

552

553 *Estimating upper storey floor area in Subphase C2*

554 Based on upper storey evidence in five Subphase C2 structures (Buildings 3-5, 14 and
555 73) and comparable ground floor plans throughout, Byrd (2005: 85) interprets all
556 corridor buildings as ‘primarily, if not exclusively, two-storey’. All are considered two-
557 storey in this investigation and the upper storey is considered to represent residential
558 area. To avoid overestimating potential upper storey floor area, the three structures
559 (Buildings 3, 14 and 73) that demonstrate the best preserved upper storey evidence were
560 analysed to determine the potential proportion of upper storey area comprising floor
561 area (Table 3). The mean proportion of upper storey interior area comprising internal
562 walls, built-in features and a hypothesized 60 sq cm passage between the lower and
563 upper floors was around 17.5%. The total upper storey interior area of structures
564 without detailed second storey layouts was estimated based on the internal boundary of
565 external walls. This proportion was then deducted from this area to calculate potential
566 upper storey floor area.

567

568 Summary of estimates

569 This section provides a summary of estimates of total population, population growth,
570 the number of people per dwelling, residential floor area per person (RADC), the
571 number of people per hectare (SPDC) and initial growth indices for allometric growth

572 formulae (AGF) (Figure 5; Table 4). As previously justified, SPF estimates are
573 considered most reliable and are presented as the final estimates.

574

575 *Total population*

576 The SPF indicated a total population of around 50 to 90 people in Subphase A1; 75 to
577 115 people in Subphase A2; 70 to 110 people in Subphase B2; and 125 to 235 people in
578 Subphase C2. Kuijt's (2008: 294) estimate for the final phase (P = 225) falls within the
579 range derived in this investigation, although his calculations were based on a density
580 coefficient of 90 people per hectare and an average period-based site extent of 2.5
581 hectares (for the MPPNB), which is far in excess of the estimated extent for this phase
582 (0.3 ha).

583

584 Estimates for Subphases A2 and B2 were almost equivalent on account of several
585 factors, including equivalent site extent (0.2 ha); comparable mean residential floor area
586 per dwelling (c. 7 sq m); and the use of Subphase A2 area proportions for Subphase B2
587 calculations due to the destruction of much of the Subphase B2 occupation by later
588 construction. For this latter reason also, it is probable that Subphase B2 population size
589 has been underestimated. Given the agricultural and architectural developments that
590 occurred at Beidha between Subphases A2 and B2 (i.e. the cultivation of domesticated
591 plants and the transition to rectilinear and more formalised architectural forms), it is
592 highly probable that the population exceeded that of Subphase A2.

593

594 The population estimates coincide with a range of hypothesized group size thresholds.
595 Firstly, it is hypothesized that a group size of at least 25 to 40 people is required for the
596 initial transition to sedentism (Fletcher 1981; Binford 2001; Kuijt and Goring-Morris

597 2002; Bandy 2010). Subphase A1 (50-90 people) provides the first evidence for a
598 permanently settled community on this site (Byrd 2005).

599

600 Secondly, a group size of at least 50 people is considered necessary for transition to
601 farming practices (Drennan and Peterson 2008), with around 100 people required for
602 adoption of a fully sedentary agro-pastoralist subsistence strategy (Fletcher 1981; Kuijt
603 and Goring-Morris 2002). Archaeological evidence indicates agricultural practices
604 relating to domesticated plant forms from Subphase B2 (70-110 people) and full
605 transition to agro-pastoralist practices by Subphase C2 (125-235 people) (Byrd 2005).

606

607 Finally, it is theorized that groups of around 150 people either undergo fissioning
608 processes or introduce mechanisms for social cohesion (Fletcher 1981; Dunbar 2003).

609 Cohesive elements are evident in the emergence of large, centrally-located, non-
610 domestic structures from Subphase A2 (75-115 people), particularly in Subphases B2
611 (70-110 people) and C2 (125-235 people), where several non-residential structures
612 appear to be in simultaneous use. In the latter subphase, evidence suggests some form of
613 central or corporate management of stored goods (Byrd 2005).

614

615 Elements of intra-community fissioning or sectoring are evident in the increasing
616 household control of resources and production from Subphase A2 (75-115 people) and
617 is again particularly evident in Subphase C2 (125-235 people), where individual
618 dwellings contain considerable space for household controlled storage, and evidence for
619 household-based production and potentially inherited specialist knowledge (Fletcher
620 1981; Dunbar 2003; Byrd 2005).

621

622 *Population growth*

623 The consecutive phases at Beidha present a rare opportunity to directly calculate
624 population growth. The SPF population estimates and estimated subphase lengths
625 produced annual population growth rates of around 0.2% to 0.3% between Subphases
626 A1 and A2; -0.1% to Subphase B2; and 1.1% to 1.6% to Subphase C2. These rates fall
627 within the range calculated for the MPPNB (-1.3%-1%) and LPPNB (-0.75%-2.1%) by
628 Goodale (2009: 160). The growth rate to Subphase A2 compares well with rates derived
629 for other formative and early agricultural villages (0.08%-0.25%) (Carneiro and Hilde
630 1966; Hassan 1981; Bandy 2001; Drennan and Peterson 2008). The mean annual
631 population growth rate throughout all phases is around 0.5%. This compares well with
632 Eshed *et al.*'s (2004) estimate of 0.5% to 1% for central and southern Levantine
633 communities at the advent of agriculture.

634

635 The positive growth rate between Subphases A1 and A2 reflects the initial and
636 increasing transition to a fully sedentary existence and may indeed have been the cause
637 of this transition. The reduced (and perhaps negative) growth rate to Subphase B2 is
638 probably due to an underestimation of population as a result of depleted occupational
639 evidence. Alternatively, low growth may suggest that the population had reached
640 carrying capacity and could explain developments in agricultural practices during this
641 phase. The increased growth rate to Subphase C2 probably reflects a 'boom' period
642 following the full transition to agro-pastoralist subsistence practices. This growth
643 pattern is well documented in early Neolithic settlements (Whitehouse *et al.* 2014). In
644 addition, this high growth reflects the architectural transition to high density, rectilinear
645 housing. It has been suggested that such high growth rates ($> 0.08\%$) often occur within
646 populations that are very large relative to carrying capacity (Porčić and Nikolić 2016:

647 182-183). This could explain why the settlement was gradually abandoned throughout
648 Subphase C2.

649

650 *People per dwelling*

651 The SPF methods produced average dwelling unit size estimates of around 2.5 to four
652 people in Subphase A1; 1.5 to 2.5 people in Subphase A2; 1.5 to two people in
653 Subphase B2; and 3.5 to 6.5 people in Subphase C2. These estimates correspond to
654 variations in the mean residential floor area, with larger areas occurring in Subphases
655 A1 (11.6 sq m) and C2 (17.2 sq m), and smaller areas in Subphases A2 (7.3 sq m) and
656 B2 (6.5 sq m).

657

658 The lower dwelling occupant numbers produced in Subphases A2 and B2 could reflect
659 erroneous interpretation of smaller structures as representing residential space and larger
660 structures as representing non-residential space. In addition, it is probable that later
661 construction destroyed more substantial Subphase B2 residential structures.

662

663 Subphase C2 dwelling unit size estimates are considerably higher than those derived for
664 the previous phases. This could reflect the potential changing structure of the residential
665 unit in terms of size, potential composition and economic function (Byrd 2005). In
666 addition, architectural developments, including addition of substantial upper storey
667 residential area, greater compartmentalisation and more restricted access routes, would
668 have enabled increased residential density whilst satisfying needs of privacy and
669 personal space.

670

671 The results indicate that nuclear families could have formed the main dwelling unit in
672 Subphases A1 and C2. However, estimates suggest paired occupancy on average in

673 Subphases A2 and B2. These results challenge the current theory that nuclear families
674 formed the main dwelling unit throughout the PPN sequence at Beidha (see Byrd 2005)
675 and could support the theory that individual structures within circular hut compounds
676 were occupied by individuals or smaller units as part of a larger family group (Flannery
677 1972).

678

679 A comparison of population estimates derived from the HUM and SPF methods
680 revealed potential correlations between dwelling unit size and residential architecture.
681 During Subphases A1, A2 and B2, residential architecture predominantly comprised
682 curvilinear dwellings with undifferentiated residential floor space; whilst in Subphase
683 C2, residential architecture comprised two-storey, highly compartmentalized dwellings,
684 with large upper storey residential areas and substantial ground floor area for storage
685 and additional activities (Byrd 2005). For the subphases with curvilinear architecture,
686 estimates derived from the HUM were considerably higher than those of other methods.
687 This occurred even when employing the minimum nuclear family size only (3 people),
688 as was the case for Subphases A2 and B2, where the available mean residential floor
689 space (c. 7 sq m) afforded on average paired dwelling occupancy. This could indicate
690 that nuclear families did not form the main dwelling unit in these subphases.

691 Conversely, the HUM estimate for Subphase C2, which employed all nuclear family
692 sizes (3-8 people), appears to have produced reasonable population estimates,
693 highlighting the potential for nuclear family dwelling units in the latest phase.

694

695 *Residential area density coefficient (RADC)*

696 The SPF method produced estimates of 2.2 to four sq m residential floor area per person
697 across all phases, with marginally higher minimum personal space allocation for
698 Subphases A2 and B2 (c. 2.5 sq m). The comparability in RADCs across all phases is

699 partly due to the SPF method. For each subphase, estimates were based on the SPF for
700 limited storage (none to moderate). This produced similar correlations between the
701 number of occupants and available space.

702

703 The RADCs fall within the range derived for comparable villages and the range utilized
704 in RADC population estimates in this investigation (1.77-5 sq m). Interestingly, despite
705 the larger available residential floor area in Subphases A1 and C2, the results do not
706 suggest an increase in personal space allocation.

707

708 An assessment of RADCs produced via other methods highlights some interesting
709 information. Firstly, RADCs based on the HUM for Subphases A2 and B2, which
710 employed the minimum nuclear family size (3 people) only, further suggest that these
711 dwellings did not accommodate nuclear families. Population estimates based on the
712 average and maximum nuclear family sizes (5.5 and 8 people) would have produced
713 RADCs considerably lower than the minimum RADC employed in this investigation
714 (1.77 sq m).

715

716 Secondly, RADCs based on Naroll's (1962) AGF allowed for one person on average
717 per dwelling during Subphases A1, A2 and B2, and four people per dwelling during
718 Subphase C2. The comparability between the Subphase C2 RADCs derived from the
719 AGF and the SPF suggest that Naroll's (1962) formula may be suitable for estimating
720 population parameters of settlements with high density, rectilinear architecture, though
721 not for settlements with curvilinear architecture.

722

723 Thirdly, the SPDC method produced excessive RADC ranges, with the minimum
724 RADC (based on 294 people per hectare) resulting in around 1.5 people per dwelling in

725 Subphases A1, A2 and B2, and three people per dwelling in Subphase C2. The
726 maximum RADCs (based on 90 people per hectare) exceeded the mean residential floor
727 area of complete dwellings in all subphases. These results suggest that the commonly
728 utilized SPDCs are too low to accurately estimate the population of PPN Beidha.

729

730 *Settlement population density coefficient (SPDC)*

731 The SPF method produced SPDCs of around 520 to 900 people per hectare for
732 Subphase A1; 370 to 590 people per hectare for Subphase A2; 350 to 560 people per
733 hectare for Subphase B2; and 420 to 780 people per hectare for Subphase C2 (Figure 6).
734 These SPDCs far exceed the range commonly used for estimating PPN central and
735 southern Levantine populations (90-294 people per hectare) and are more comparable to
736 those derived for enclosed Bronze Age settlements (Ugarit, Syria: 550 people per
737 hectare; Mesopotamia: 380-750 people per hectare) (Wossinik 2009; Kennedy 2013)
738 and Iron Age settlements (Palestine: 400-500 people per hectare; Jerusalem: 395 people
739 per hectare) (Jeremias 1969; Shiloh 1980; Zorn 1994).

740

741 The high SPDCs may be due to the restricted topographical context of Beidha and the
742 placement of a village wall bounding the settlement to the south. However, it is
743 improbable that settlement sprawl was restricted in any significant way given the low
744 estimated population sizes for all phases and the open spatial distribution of structures
745 particularly in Phases A and B. This theory is supported by the combination of
746 population increase with declining density from Subphases A1 to A2. The high SPDCs
747 are probably due to the nature of the architectural construction, which included
748 clustered and interconnected curvilinear dwellings in Phases A and B, and high density,
749 interconnected, two-storey, rectilinear housing in Phase C (Byrd 2005). Further analysis

750 will reveal whether high SPDCs were a characteristic of PPN villages in the central and
751 southern Levant.

752

753 *Initial growth indices derived for the allometric growth formulae (AGF)*

754 Allometric growth formulae (AGF) were applied to explore the suitability of scaling
755 exponents (b) and to derive initial growth indices (a) for different settlement types. Re-
756 calculation of the initial growth index utilized in Naroll's (1962) formula (AGF1) ($a =$
757 21.7) based on the SPF population estimate (P) and estimated total built floor area (A)
758 produced relatively consistent values for Subphases A1, A2 and B2 (minimum: c. 8-11;
759 maximum: c. 12-17), and a range comparable with the original index for Subphase C2
760 (c. 15-26) (Table 5). The comparability between constants derived for sites exhibiting
761 predominantly curvilinear architecture (Subphases A1, A2 and B2) and predominantly
762 rectilinear architecture (Subphase C2 and Naroll's (1962) original dataset) indicate the
763 potential for Naroll's (1962) formula to be refined for different settlement types.

764

765 The initial growth index calculated for Wiessner's (1974) formula (AGF2) for village
766 settlements was relatively consistent across all phases (minimum: c. 11-18; maximum:
767 c. 19-29), suggesting that an average index range of around 15 to 25 may be suitable for
768 estimating the population of all PPN central and southern Levantine villages when
769 applying this formula. Similarly, the comparability between indices derived for open
770 settlement types (Subphases A1, A2 and B2) (minimum: 0.12-0.16; maximum: 0.37-
771 0.41) suggests that an average index range of around 0.14 to 0.38 may be suitable for
772 application of the open AGF to PPN villages with curvilinear architecture. In this
773 preliminary analysis, only one phase demonstrated characteristics of an urban settlement
774 (Subphase C2). Thus, further analysis is required prior to the assessment of indices for
775 this settlement type.

776

777 **Implications for existing methodologies and theories**

778 The most significant findings from this analysis relate to the suitability of the settlement
779 population density coefficient (SPDC) method and commonly utilized SPDCs for
780 estimating population parameters; and the theory that nuclear families typified the
781 dwelling unit at Beidha and other PPN villages (Sweet 1960; Haviland 1972; Kramer
782 1982; Düring 2001; Byrd 2002; 2005).

783

784 The SPDC method has been the primary method for estimating PPN central and
785 southern Levantine village populations. However, this investigation has highlighted
786 several issues with this technique. Firstly, as this method is based on total site extent,
787 the same population estimates are produced for sites of equivalent estimated total site
788 extent regardless of intra-site organisation or other impacting factors, such as
789 topographical context, climate or perceptions relating to privacy, space and
790 overcrowding. Secondly, application of the commonly utilized SPDC range for PPN
791 settlements (90-294 people per hectare) results in broad estimate ranges, particularly for
792 sites of large estimated areal extent. Thirdly, when adjusted to reflect average dwelling
793 occupant numbers in the assessable area based on the estimated number of
794 contemporaneous dwellings, it is apparent that commonly utilized SPDCs may
795 underestimate population (Figure 6).

796

797 The minimum SPDC (90 people per hectare) resulted in average dwelling unit sizes of
798 less than one person in all subphases, whilst the average SPDC (150 people per hectare)
799 produced average estimates of less than one person in Subphases A1, A2 and B2 and
800 just over one person in Subphase C2. Application of the maximum SPDC (294 people
801 per hectare) produced average dwelling unit sizes of one person for Subphases A1, A2

802 and B2, and around 2.5 people in Subphase C2. If dwellings were indeed occupied by
803 nuclear families, as Byrd (2005) suggests, this could reflect two adults and a child.
804 However, it is improbable that these high density, highly compartmentalized, two-storey
805 dwellings with considerable ground floor storage space and large upper storey
806 residential areas were occupied by such small family units.

807

808 It is apparent that the commonly utilized values for population density and the theory
809 that dwellings at Beidha were predominantly occupied by nuclear families of around
810 five to six people (Byrd 2005) are not compatible. There could not have been a
811 maximum population density of 294 people per hectare on the one hand and a dwelling
812 occupant size of five to six on the other. The results do not correlate. Either the
813 population density was higher or the dwelling unit size was smaller. Based on this
814 preliminary analysis, it appears that both the commonly utilized SPDCs and the theory
815 that PPN dwellings were occupied by nuclear families require re-evaluation.

816

817 As part of this re-evaluation, SPDCs were reconstructed from HUM, RADC and SPF
818 population estimates and converted to population and average dwelling unit size in the
819 assessable area (Figure 6). This investigation produced SPDCs ranging from around 500
820 to 900 people per hectare for Subphase A1; 350 to 600 people per hectare for Subphases
821 A2 and B2; and around 400 to 800 people per hectare for Subphase C2. These values
822 are considerably higher than the maximum commonly utilized SPDC (294 people per
823 hectare) and all produce more realistic estimates of population and dwelling unit size in
824 the assessable area. Subphases A1 and C2 both comprise large residential areas and
825 dense structural layout and both produced comparatively high density values; whilst
826 Subphases A2 and B2 comprise small residential areas and lower structural density,

827 resulting in reduced population density, though still higher than the commonly utilized
828 range.

829

830 SPDCs derived from HUM population estimates were assessed to determine potential
831 dwelling unit sizes. HUM estimates for Subphases A2 and B2 were based on the
832 minimum nuclear family size of three people only. The resulting SPDCs suggest that
833 even this dwelling unit size is too high for these subphases. Conversely, the SPDC
834 based on the HUM population estimate for Subphase C2, which employed the entire
835 range of nuclear family sizes (3-8 people), indicates that this may be a suitable dwelling
836 unit size range for this subphase.

837

838 This analysis suggests that the commonly utilized SPDCs (90-294 people per hectare)
839 are too low to accurately estimate the population of PPN Beidha and that different
840 SPDCs could be developed for different settlements types.

841

842 **Conclusion**

843 This research examines existing estimates, commonly utilized methodologies and
844 associated theories in order to establish a more empirically-robust methodological
845 framework for estimating absolute population parameters of PPN villages in the central
846 and southern Levant.

847

848 Five methodologies were selected for detailed analysis and comparison: the housing
849 unit method (HUM), the residential area density coefficient (RADDC) method, the
850 storage provisions formula (SPF), the settlement population density coefficient (SPDC)
851 method and the allometric growth formula (AGF). Assessment of these methodologies
852 and the resulting estimates revealed that the SPF is the most empirically-robust method

853 for producing potentially reliable absolute population estimates and for comparative
854 analysis. This method does not rely on ethnographic analogy and incorporates fewer
855 assumptions than other methods explored in this investigation. It has the advantage of
856 producing direct estimates of dwelling unit size in addition to total population size, and
857 can highlight the potential degree of storage within the residential floor area.

858

859 The SPF method indicates that the population of Beidha increased from around 50 to 90
860 people in Subphase A1 to around 125 to 235 people in Subphase C2, with a mean
861 annual population growth rate of around 0.5%. These estimates correspond well with
862 current group size threshold theory relating to initial transition to sedentism (25-40
863 people), adoption of agriculture (≥ 50 people) and agro-pastoralist subsistence practices
864 (≥ 100 people), and introduction of mechanisms for social cohesion within larger groups
865 (≥ 150 people) (Fletcher 1981; Binford 2001; Kuijt and Goring-Morris 2002; Dunbar
866 2003; Drennan and Peterson 2008; Bandy 2010). The results also compare well with
867 population growth rates derived for early agricultural and formative villages (0.08-1%)
868 (Carneiro and Hilde 1966; Hassan 1981; Bandy 2001; Eshed *et al.* 2004; Drennan and
869 Peterson 2008).

870

871 Preliminary analysis indicates that current theory relating to population density and the
872 composition of the dwelling unit, as well as methodological practices relating to
873 commonly utilized values for the number of people per dwelling, residential floor area
874 per person (RADC) and the number of people per hectare (SPDC) require re-evaluation.
875 Nuclear families are often considered to represent the main dwelling unit in Neolithic
876 societies (Sweet 1960; Haviland 1972; Kramer 1982; Düring 2001; Byrd 2002; 2005).
877 However, this analysis indicates that nuclear family dwelling units may not have
878 occurred within some PPN settlements. In this investigation, subphases with

879 predominantly curvilinear architecture combined with small mean residential areas
880 (Subphases A2 and B2) produced dwelling unit size estimates that suggests paired
881 occupancy on average. Conversely, subphases with larger mean residential areas
882 (Subphase A1 and C2) produced dwelling unit sizes which could reflect nuclear family
883 units, particularly in the latter subphase (3.5 to 6.5 people).

884

885 Ethnographically derived RADCs are often not employed in population estimates due to
886 the inconsistency in RADC measurements. However, this assessment has produced a
887 relatively limited range of 2.2 to four sq m residential floor area per person across all
888 phases. It appears that changes in architecture, including increases in available
889 residential floor area, may not alter the amount of personal residential floor area
890 allocation. These RADCs correspond well with archaeological and ethnographic
891 estimates of RADC in comparable villages in Southwest Asia, Southwest America and
892 the Arctic Circle (1.77-5 sq m per person) (Cook and Heizer 1968; Hill 1970; Clarke
893 1974; Kramer 1979; Hayden *et al.* 1996; Hemsley 2008). The consistency of the results
894 indicates that this RADC range could be utilized to estimate the population of PPN
895 central and southern Levantine villages.

896

897 Almost all PPN village population estimates to date have utilized the same simple
898 methodology for rapidly estimating population based on site extent and an
899 ethnographically derived population density range of 90 to 294 people per hectare.
900 However, this analysis indicates that this range is too low to accurately estimate the
901 population of PPN Beidha and that different density coefficients could be derived for,
902 and applied to, different PPN settlement types. This investigation produced SPDCs
903 ranging from around 350 to 900 people per hectare, with higher density values
904 correlating to higher structural density and larger mean residential floor areas. The high

905 SPDCs achieved in this investigation raise a number of questions about how people
906 were able to live in such potentially densely populated villages without sophisticated
907 water or transport technologies, and the causes and consequences of transitions and
908 developments in subsistence strategies, architecture, economic practices and social
909 organisation.

910

911 Another method for rapidly estimating population is the allometric growth formula
912 (AGF). This method has been largely abandoned in archaeology given the variable
913 relationship between human population size, population density and settlement size.
914 However, re-calculation of initial growth indices has revealed that specific indices could
915 be derived for different PPN settlements types. Naroll's (1962) original index of 21.7,
916 or a range from around 15 to 26 (derived from Subphase C2), may be suitable for
917 estimating the population of PPN villages with predominantly rectilinear architecture;
918 whilst a reduced index range of around 10 to 15 (derived from Subphases A1, A2 and
919 B2) may be suitable for application to PPN villages with predominantly curvilinear
920 architecture. For Wiessner's (1974) AGF, this assessment indicates that an initial
921 growth index range of around 15 to 25 (derived from all subphases) may be suitable
922 when applying the AGF for village settlements; and an index range of around 0.14 to
923 0.38 (derived from Subphases A1, A2 and B2) may be suitable when applying the
924 formula for open settlements. Further analysis is required prior to development of a
925 suitable index range for urban settlements.

926

927 The results of this analysis challenge current theory relating to the use of residential
928 space at Beidha, particularly with regard to population density and the theory of
929 predominantly nuclear family dwelling units. The results indicate that commonly
930 utilized ethnographically derived coefficients require revision and that different

931 constants could be developed for different settlement types. This research has the
932 potential to contribute significantly to our understanding of population dynamics in
933 central and southern Levantine PPN villages and presents multiple avenues for
934 methodological and theoretical research into population parameters in other regions and
935 periods.

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Figures and Tables

Figure 1. Existing population estimates for PPN central and southern Levantine villages.

Figure 2. Allometric relationship between settlement area (dashed lines), population size/number of dwellings (shaded units) and population density (scales underneath) in (a) open, (b) village and (c) urban settlements (adapted from Wiessner 1974: 347).

Figure 3. Location map of Beidha showing excavation area.

Figure 4. Site plans of Beidha Subphases A1, A2, B2 and C2 (transcribed from Byrd 2005: 180-195).

Figure 5. Summary of estimates (SPF estimates considered most reliable and highlighted for comparative analysis).

Figure 6. Data derived from SPDC methods for Beidha Subphases A1 to C2: (a) from commonly utilized SPDCs; (b) from HUM, RADC and SPF population estimates.

Table 1. Description of Beidha Subphases A1, A2, B2 and C2 (Byrd and Banning 1988; Wright 2000; Colledge 2001; Byrd 2005; Martin and Edwards 2013).

Table 2. Estimates of PPN Beidha occupation span, phase/subphase length, building use-life and structural contemporaneity.

Table 3. Beidha Subphase C2 structures assessed to determine potential upper storey floor area.

Table 4. Summary of estimates (SPF estimates considered most reliable and highlighted for comparative analysis).

Table 5. Initial growth indices derived for Beidha Subphases A1 to C2 (applicable settlement types highlighted).

Table 1. Description of Beidha Subphases A1, A2, B2 and C2 (Byrd and Banning 1988; Wright 2000; Colledge 2001; Byrd 2005; Martin and Edwards 2013).

| Phase/ Subphase | Site extent (ha) | Architecture | Residential structures | Non-residential structures | Subsistence | Community organisation |
|--------------------|------------------------|---|---|---|---|--|
| A | | Semi-subterranean; curvilinear; stone and mud-brick walls; evidence for re-modelling, re-plastering and re- | Undifferentiated residential floor area | Annexes attached to dwellings; village wall and steps | Hunter-gatherer | Egalitarian; communal activities; little distinction between public and private space |
| A1 | 0.1 | | $n = 4$ | Possible mortuary building | | |
| A2 | 0.2 | | $n = 9$ | Large, central structure | | |
| B2 | 0.2 | As above; emerging rectilinear forms | $n = 8$ More formalized and restricted access; more structured residential floor area | Large, central structures; increasing importance of non-residential built area | Cultivation of pre-domesticated barley and emmer; potential culturally controlled goats | Possible social differentiation; possible household economic units; increasing separation between public and private space |
| C2 | 0.3 | Rectilinear; stone and sandstone slab walls; two-storey; extensive evidence for re-modelling, re-plastering and re-flooring | $n = 15$ Restricted access; highly compartmentalized; two-storey corridor buildings: ground floor storage/working areas, upper storey residential area | Large, central, rectangular building adjacent to curvilinear structure (possible storage) | Domesticated wheat and barley; potential goat domestication | Possible centralized control of resources (possible central storage structure; open courtyard area with large hearths); well-established household-based economy |

Table 2. Estimates of PPN Beidha occupation span, phase/subphase length, building use-life and structural contemporaneity.

| Phase | Subphase | Byrd 2005 | | Archaeological, ethnographic and experimental research | | Bayesian chronological modelling | Final values | |
|---------------------------------------|----------|-------------|--------------------------|--|--------|----------------------------------|--------------|--------------------------------|
| | | Years | | Construction, Maintenance* | Years | Max years | Years | Structural contemporaneity (%) |
| Occupation span | | 500-800 | | | | 600 | ~500 | |
| Phase/ subphase length | A | 300 | | | | | | |
| | A1 | (150) | | | | 140 | 140 | |
| | A2 | (150) | | | | 80 | 80 | |
| | B | 150-250 | | | | | | |
| | B1 | (100) | | | | (≥ 30) | | |
| | B2 | (100) | | | | 50 | 70 | |
| | C | 150-250 | | | | | | |
| | C1 | (100) | | | | (≥ 70) | | |
| | C2 | (100) | | | | 80 | 90 | |
| Building use-life | A | A1 | Considerable | E/M, C | 55-75 | | 100 | 71.43 |
| | | Building 18 | | E/M, C | 55-75 | 90 | | |
| | | Building 48 | | E/M, C | 55-75 | 120 | | |
| | | A2 | Reasonable | E/M, Mod-C | 35-75 | | 60 | 75 |
| | | Building 54 | | E/M, Mod-C | 35-75 | 60 | | |
| | | Building 74 | | E/M, Mod | 35-55 | 60 | | |
| | B | B2 | Short (NE)/Long (Center) | E/M, Mod | 35-55 | | 50 | 71.43 |
| | | Building 26 | | E/M, Mod | 35-55 | 50 | | |
| | C | C2 | Considerable | M, Mod-C | 50-100 | | 70 | 77.78 |
| | | Building 8 | | M, C | 75-100 | 80 | | |

* Construction - E: Earthen, M: Masonry; Maintenance - Mod: Moderate, C: Considerable. (Earthen structures: Cameron 1990; Diehl and LeBlanc 2001; Ortman *et al.* 2007; Arnoldussen 2008; Kuijt and Finlayson 2009; Varien 2012. Masonry structures: Ahlstrom 1985; Rollefson and Köhler-Rollefson 1989; Hodder and Cessford 2004; Cessford 2005; Matthews 2005).

Table 3. Beidha Subphase C2 structures assessed to determine potential upper storey floor area.

| Building | Total potential upper storey area (excl. external walls) | Upper storey interior walls and built-in features | | Passage between lower and upper storey | | Potential upper storey floor area | | |
|-------------|--|---|--------------|--|-------------|---|------------------|-------------|
| | | <i>sq m</i> | % | <i>sq m</i> | % | <i>To deduct from upper storey area</i> | <i>Remaining</i> | |
| | <i>sq m</i> | <i>sq m</i> | % | <i>sq m</i> | % | <i>sq m</i> | % | <i>sq m</i> |
| 3 | 21.79 | 2.8 | 12.85 | 0.6 | 2.75 | 3.40 | 15.60 | 18.39 |
| 14 | 15.23 | 1.15 | 7.55 | 0.6 | 3.94 | 1.75 | 11.49 | 13.48 |
| 73* | 16.06 | 3.44 | 21.42 | 0.6 | 3.74 | 4.04 | 25.16 | 12.02 |
| Mean | | | 13.94 | | 3.48 | | 17.42 | |

* Marginally incomplete structure measures 13.10 sq m. Hypothetical boundary drawn in southwest corner to represent complete structure measuring 16.06 sq m.

Table 4. Summary of estimates (SPF estimates considered most reliable and highlighted for comparative analysis).

| | Subphase | | | |
|---|-----------------|-----------|-----------|-----------|
| | <i>A1</i> | <i>A2</i> | <i>B2</i> | <i>C2</i> |
| Total population | | | | |
| HUM | 65-175 | 140 | 150 | 110-290 |
| RADC | 40-120 | 60-170 | 55-160 | 100-285 |
| SPF | 50-90 | 75-115 | 70-110 | 125-235 |
| SPDC | 10-30 | 20-60 | 20-60 | 25-90 |
| Annual population growth rate (%) | | | | |
| <i>Subphase length</i> | 140 | 80 | 70 | 90 |
| HUM | | -0.1-0.8 | 0.1 | -0.4-1.4 |
| RADC | | 0.3 | -0.1 | 1.1 |
| SPF | | 0.2-0.3 | -0.1 | 1.1-1.6 |
| SPDC | | 0.7 | 0 | 0.7 |
| People per dwelling | | | | |
| <i>Total number of contemporaneous dwellings</i> | 22 | 46 | 50 | 37 |
| <i>Mean residential floor area of complete dwellings (sq m)</i> | 11.6 | 7.3 | 6.5 | 17.2 |
| HUM | 3-8 | 3 | 3 | 3-8 |
| RADC | 1.9-5.5 | 1.3-3.7 | 1.1-3.2 | 2.8-7.8 |
| SPF1 | 2.4-4.2 | 1.6-2.5 | 1.4-2.2 | 3.4-6.4 |
| SPF2 | 2.9-4.2 | 1.8-2.5 | 1.7-2.1 | 4.3-6.4 |
| SPDC | 0.4-1.4 | 0.4-1.3 | 0.4-1.2 | 0.7-2.4 |
| RADC (sq m per person) | | | | |
| <i>Total contemporaneous residential floor area (sq m)</i> | 210 | 295 | 285 | 505 |
| HUM | 1.2-3.2 | 2.2 | 1.9 | 1.7-4.6 |
| RADC | | 1.77-5 | | |
| SPF | 2.3-4 | 2.5-4 | 2.5-4 | 2.2-4 |
| AGF1 | 9.2-10 | 6.8-7.3 | 6.9-7.4 | 4-4.4 |
| SPDC | 7.1-23.3 | 5.1-16.5 | 4.8-15.7 | 5.7-18.6 |
| SPDC (people per hectare) | | | | |
| <i>Total site extent (hectares)</i> | 0.1 | 0.2 | 0.2 | 0.3 |
| HUM | 650-1730 | 690 | 750 | 370-970 |
| RADC | 420-1190 | 300-840 | 280-800 | 340-950 |
| SPF | 520-900 | 370-590 | 350-560 | 420-780 |
| SPDC | | 90-294 | | |

Table 5. Initial growth indices derived for Beidha Subphases A1 to C2 (applicable settlement types highlighted).

| | | Subphase | | | |
|----------------------|---------|-----------------|------------|------------|------------|
| | | <i>A1</i> | <i>A2</i> | <i>B2</i> | <i>C2</i> |
| Naroll's (1962) AGF1 | | 7.7-12.3 | 10.8-16 | 11.3-16.6 | 15.1-25.6 |
| Wiessner's (1974) | Open | 0.12-0.37 | 0.15-0.37 | 0.16-0.41 | 0.06-0.19 |
| AGF2 | Village | 11.1-19.2 | 17.1-27.2 | 18-28.5 | 12.9-24.1 |
| | Urban | 49.6-71.7 | 83.7-113.8 | 86.5-117.6 | 79.1-120.2 |

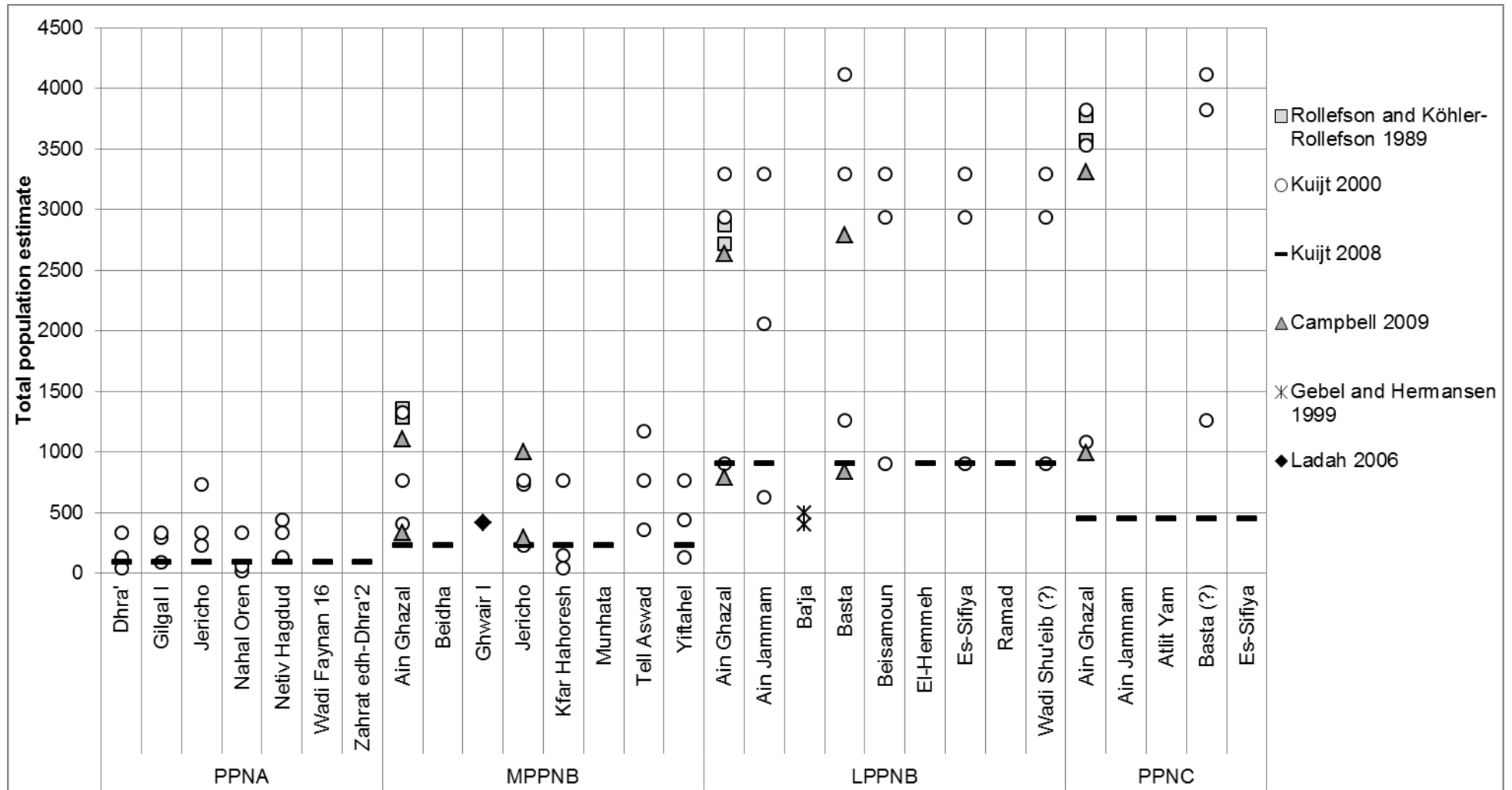


Figure 1. Existing population estimates for PPN central and southern Levantine villages.

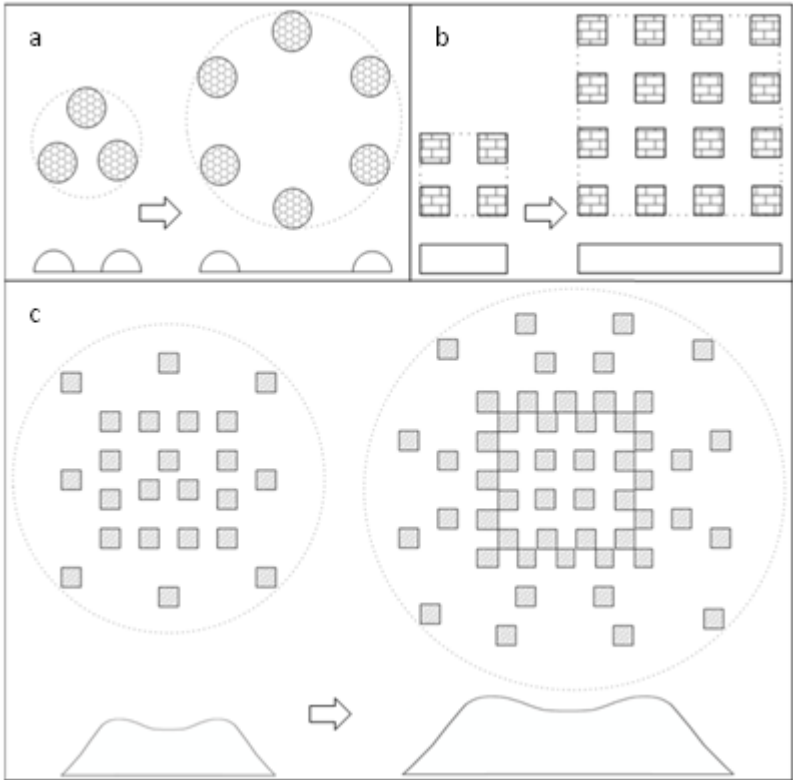


Figure 2. Allometric relationship between settlement area (dashed lines), population size/number of dwellings (shaded units) and population density (scales underneath) in (a) open, (b) village and (c) urban settlements (adapted from Wiessner 1974: 347).

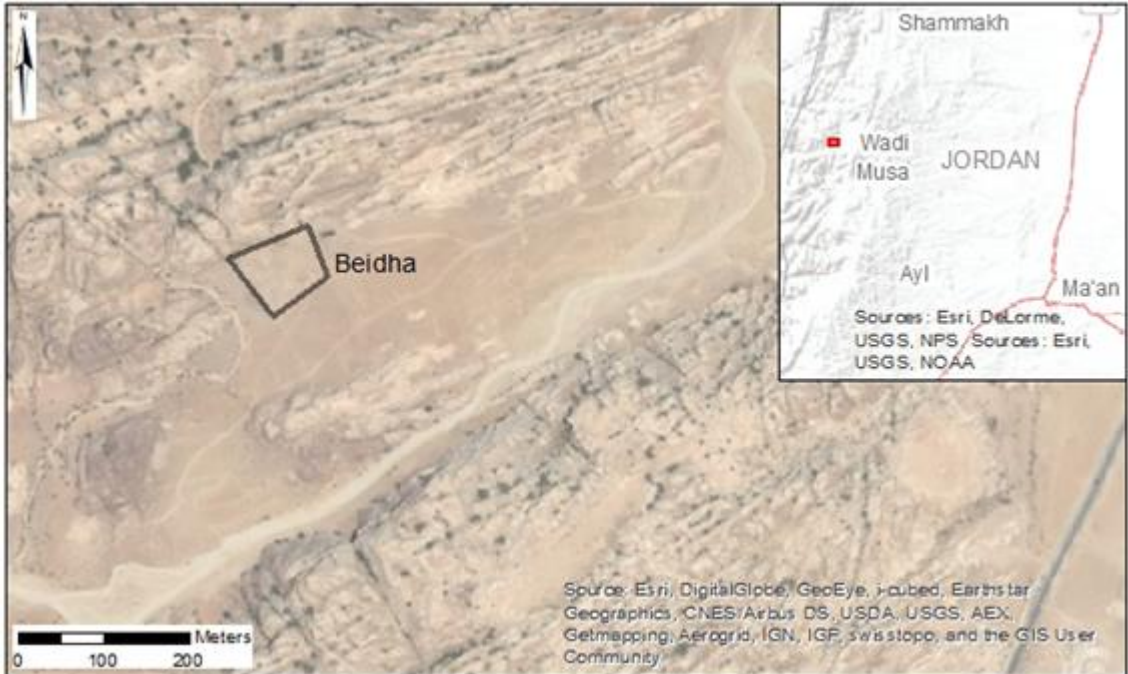


Figure 3. Location map of Beidha showing excavation area.

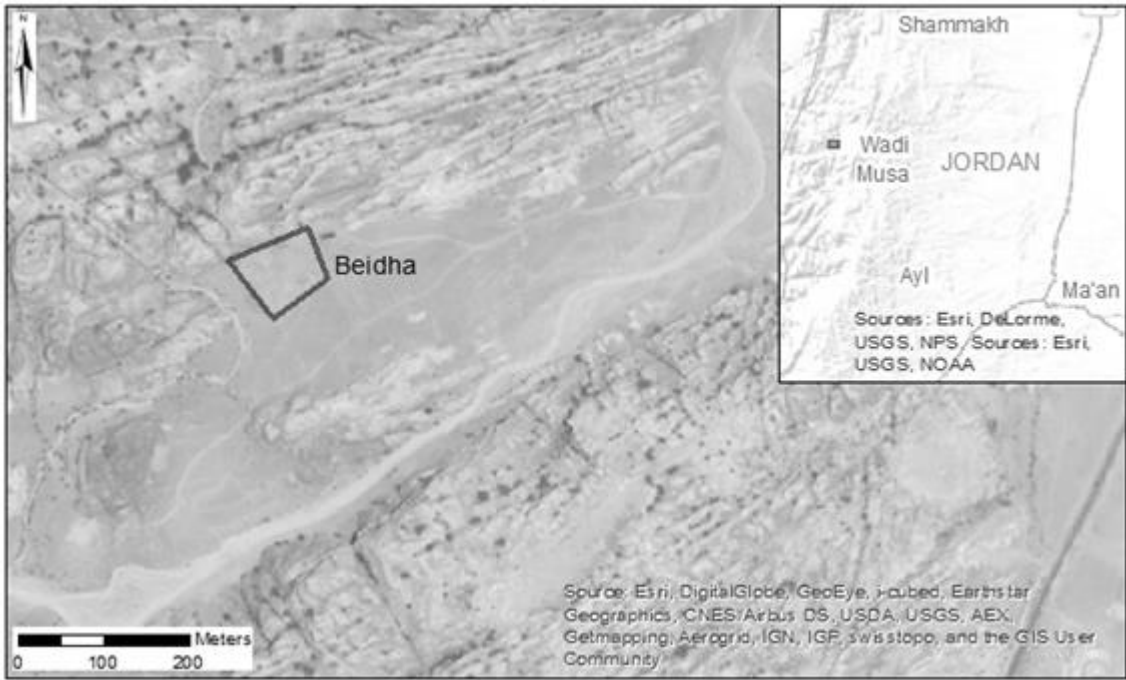


Figure 3. Location map of Beidha showing excavation area.

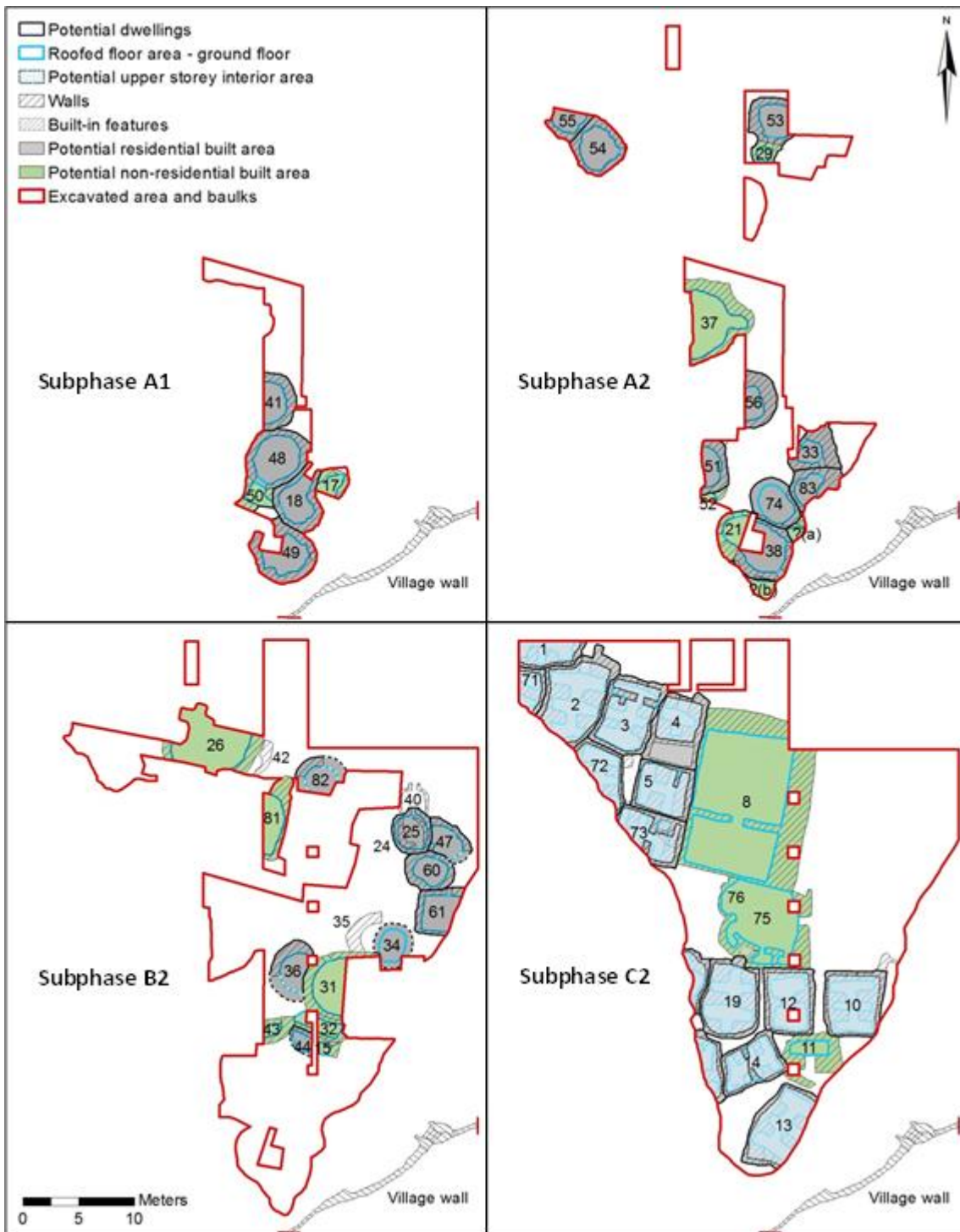


Figure 4. Site plans of Beidha Subphases A1, A2, B2 and C2 (transcribed from Byrd 2005: 180-195).

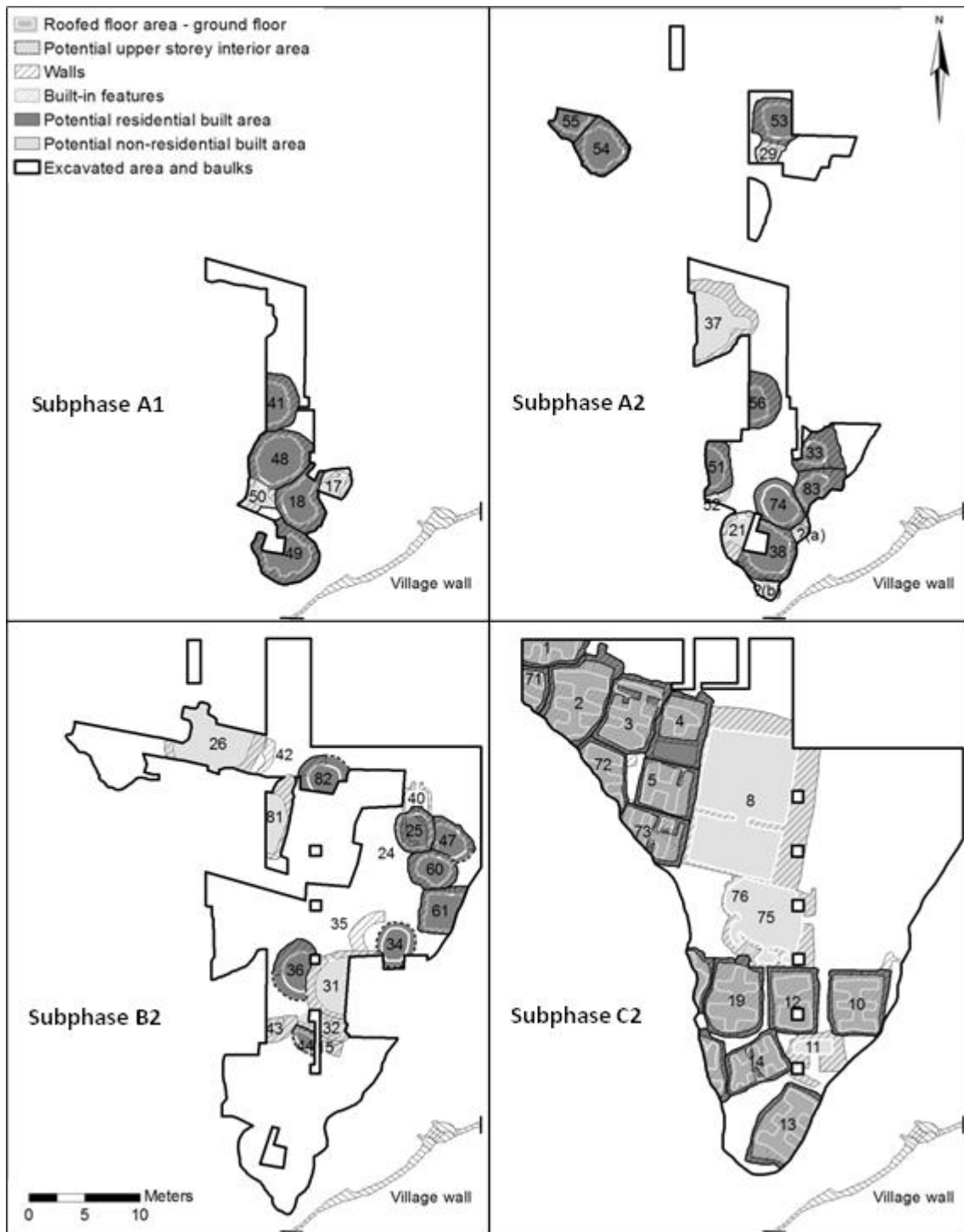


Figure 4. Site plans of Beidha Subphases A1, A2, B2 and C2 (transcribed from Byrd 2005: 180-195).

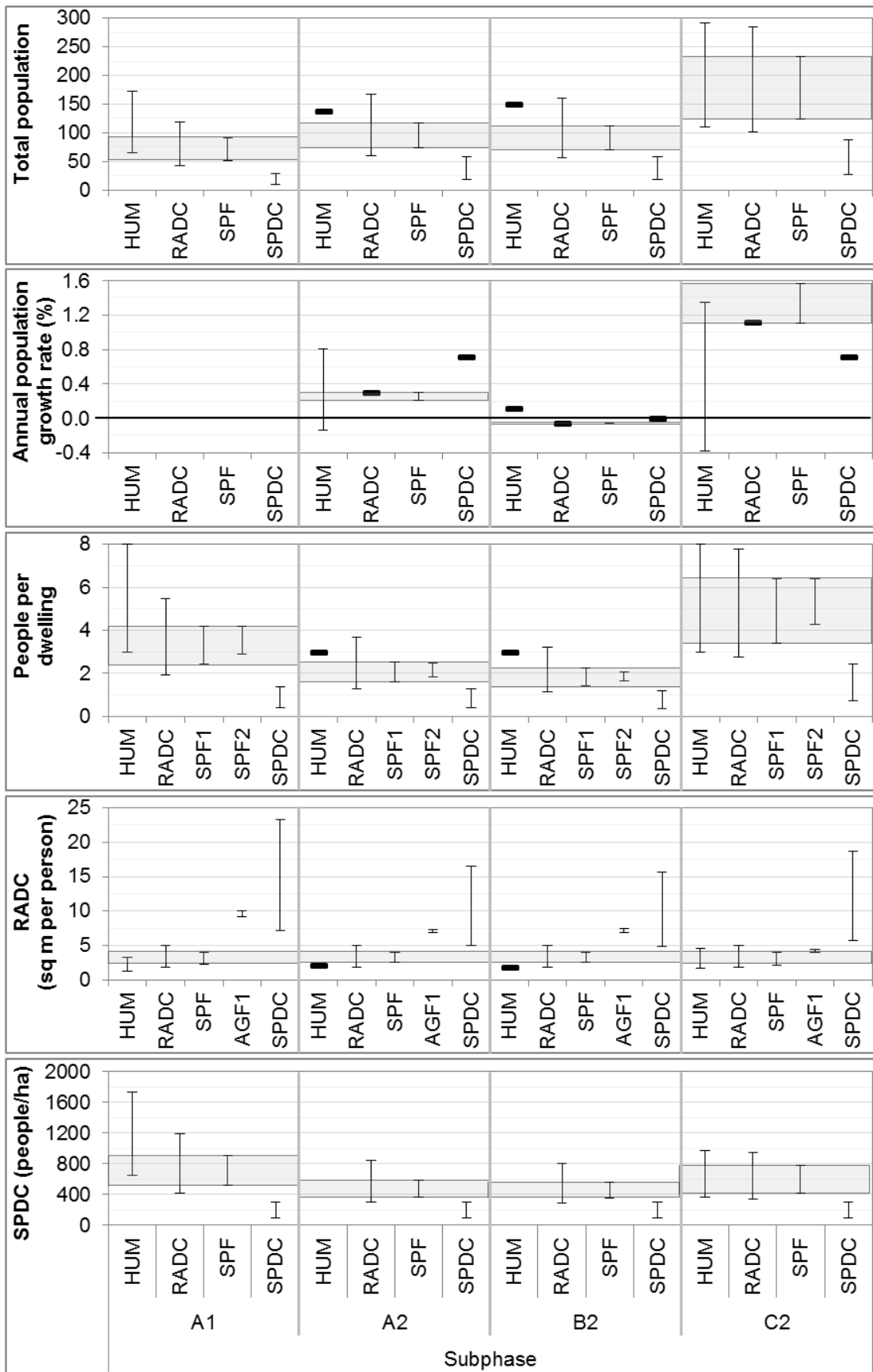


Figure 5. Summary of estimates (SPF estimates considered most reliable and highlighted for comparative analysis).

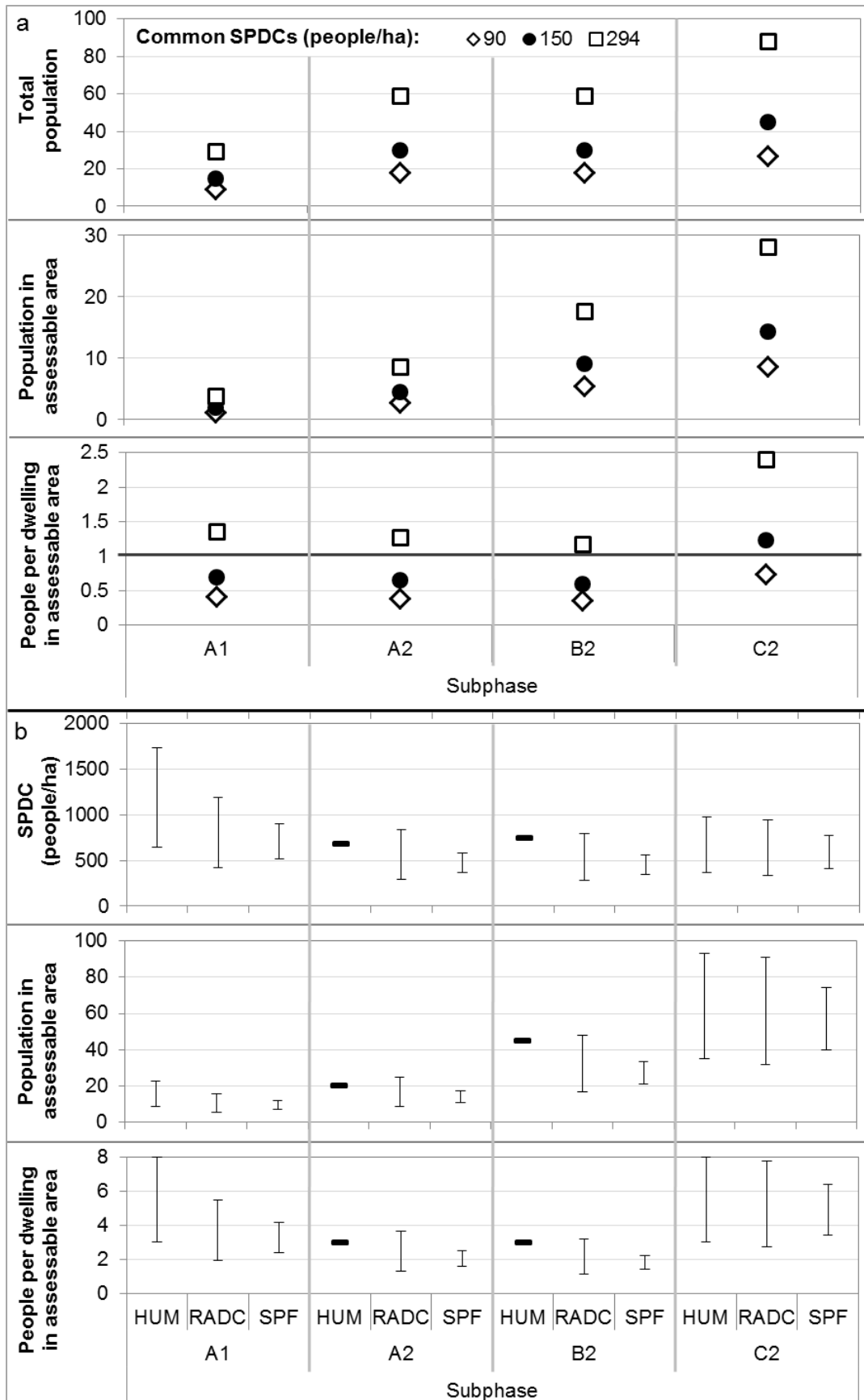


Figure 6. Data derived from SPDC methods for Beidha Subphases A1 to C2: (a) from commonly utilized SPDCs; (b) from HUM, RADC and SPF population estimates.